Ph.: 22659742

# CARBO-CERAMICS LIMITED

Registered Office: 31, Chowringhee Road, Kolkata - 700 016 Email id: secretarial@carbo-ceramics.com, Fax: 22496420

Website: www.carbo-ceramics.com CIN: L26999WB1902PLC001537

16th January 2020

The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata- 700 001

Dear Sir,

Ref:- Scrip Code:13019

Re: <u>Statement showing holding of securities and shareholding pattern for the quarter</u> ended 31<sup>st</sup> December 2019.

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 we enclose herewith the statement showing holding of securities and shareholding pattern of our Company for the quarter ended 31st December, 2019, receipt of which may kindly be acknowledged.

Thanking you,

Yours faithfully

For Carbo-Ceramics Ltd.

K Biyani
Company Secretary

Encl. : As above.

## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name o	f Listed Entity: Carbo-Ceramics Limited								
2.	Scrip Co	Scrip Code/Name of Scrip/Class of Security: 013019(CSE)/EQUITY								
3.	Share H	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) :31(1)(b)								
	a.	If under 31(1)(b) then indicate the report for Quarter ending :31st December 2019								
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment								
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-									

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the listed entity has any significant beneficial owner?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :02/01/2020 NSDL : 31/12/2019 CDSL :31/12/2019



Carbo-	Ceramics Limited												-	-					
Table ! -	Summary Statement holding o	f specified secu	ırities - 31	.12.2019							-	-					-		
												-							
								Number of Voting Rights held in each class			each class				f Locked in	Number of Shares pledged or otherw			
				No. of				or securiti	of securities				Shareholding, as a %	shares		encumber	ec	Number	
			No. of fully paid	Partly paid-up	No. of shares		Shareholding as a % of total no.	No of Vot	No of Voting Rights				assuming full conversion of		As a % of		As a % of	of equity shares	
		Nos. of	up equity	equity	underlying	Total nos.	of shares	140 01 401	III KIKINS		Total as a	convertible	convertible securities		totai		total	held in	
		shareholders -	shares	shares	Depository	shares	(calculated as				% of	securities (including	( as a percentage of		Shares		Shares	demateria	
Category	Category of shareholder	31.12.2019	held	held	Receipts	held	per SCRR, 1957)	Class eg: >	Class eg: y	Total	(A+B+C)	Warrants)	diluted share capital)	No. (a)	held(b)	No. (a)	held(b)	lised form	
			]		T	(VII) =	(VIII) As a % of						(XI)= (VII)+(X) As a %						
(1)	(11)	(10)	(IV)	(V)	(VI)	(IV)+(V)+	(A+B+C2)		(	IX)		(X) of (A+B+C2)		of (A+B+C2) (XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	2	529720	0	0	529720	56.3532	529720	0	529720	56.3532	0	56.3532	0	0.0000	0	0.0000	529720	
(B)	Public	934	410280	0	0	410280	43.6468	410280	0	410280	43.6468	0	43.6468	2100	0.5118	NA	NA	73615	
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	Total	936	940000	0	0	940000	100.0000	940000	0	940000	100.0000	0	100.0000	2100	0.5118	0	0.0000	603335	

GENERATED ON :02/01/2020

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CDSL



#### Carbo-Ceramics Limited Table II - Statement showing shareholding pattern of the Promoter and Promoter Group -31.12.2019 Number of Shares Shareholding, as Number of Voting Rights held in each class a % assuming full Number of Locked in Pledged of 9the wise No. of Shares shares Underlying conversion of Outstanding convertible Shareholding % of sounts calculated as No of Voting Rights convertible securities ( as a As a % of As a % of No. of shares shaffes per SCRR, 1957 No. of fully Partly paidunderlying Total as a securities percentage of total total held in Nas. of paid up equity up equity Depository Total nos. As a % of % of lincluding diluted share Shares Shares demailer Category & Name of the shareholders PAN shareholders shares held shares held Receipts shares held [(A+B+C2) Cluss eg: X Class eg: y Total (A+B+C) Warrants) capital lised form [Vti] = (VIII) As a % of (XI)= (V!!)+(X) As (31) {199} (VI) (V) (V1) (XIV) Indian 0.0000 0.00cm Individuals / Hindu Undivided Family 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 Central Government / State Government(s) (DO) 0.0000 (b) 0.0000 0.0000 0.0000 0.0000 Financial Institutions / Banks 0.0000 461000 49.0426 461000 49.0426 0 49,0426 0.0000 Any Other [Specify] 461000 461000 0.0000 461000 461000 49.0426 0 49.0426 461000 49.0426 49100G 461000 0.0000 **Bodies Corporate** 0.0000 461000 AADCE2623D 1 461000 Emerald Company Private Limited 461000 49.0426 461000 461000 49.0425 0 49.0x26 9.000G 0.0000 461000 Sub Total (A)(1) 461000 461000 49.0426 461000 461006 49.6426 0 49.0426 9.0000 0.0000 461000 Foreign Individuals (Non-Resident Individuals / Foreign 68720 7.3106 68720 68720 7.3106 7.3106 0.0000 Individuals) 68720 ,0000 ADJPB4754E 6,2000 Krishna Kumar Bangur 68720 68720 7.3106 68720 68720 7.3106 7.3106 0.0000 68720 Government 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 0.0000 Institutions 0.0000 0.0000 0.0000 0.0000 0.0000 Foreign Portfolio Investor n poorc' 0.0000 0.0000 0.0000 Min0 Any Other (Specify) 0 .5ood 0.0000 Sub Total (A)(2) 68720 68720 7.3106 68720 68720 7.3106 7.3106 -Good 58720 Total Shareholding Of Promoter And Promoter Group 529720 529720 56.3532 529720 529720 56.3532 56.3532 0.0000 9.00002 529720 (A)= {A)(1}+(A)(2)

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

#### Note

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/01/2020

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### Carbo-Ceramics Limited

Table III - Statement showing shareholding pattern of the Public shareholder -31.12.2019

		,				No. of			Number of securiti	f Voting Rig es	hts held in	each class	No. of Shares Underlying	Shareholding, as a % assuming full conversion of	Number of Locked in shares		Number of pledged of encumber	Number	
	Category & Name of the shareholders	PAN	Nos. of sharehold ers	No. of fully paid up equity shares held	equity shares	shares underlyin g Depositor y Receipts		Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No of Voting Rights  Class eg: X Class eg: y		Total	Total as a % of (A+B+C)	Outstanding convertible securities (including Warrants)	convertible securities ( as a percentage of diluted share capital)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares heid(b)	of equity shares held in demateri
	(0)	(11)	(111)	(IV)	(V)	(V1)	(VII) =	(VIII) As a % of		(I	X)		(x)	(XI)= (VII)+(X) As a		KII)		(XIII)	(xtv)
	Institutions				- death								-0.0 -2.0						1
a)	Mutual Fund		0	0	0	0	Ū.	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA.	NA	0
d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	MA	NA	C
e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	a
f)	Financial Institutions / Banks	1	12	6970	0	0	6970	0.7415	6970	0	6970	0.7415	0	0.7415	0	0.0000	NA	NA	0
g)	Insurance Companies		1	12500	0	0	12500	1.3298	12500	0	12500	1.3298	0	1.3298	0	0.0000	NA	NA	12500
	Life Insurance Corporation Of India	AAACL0582H	1	12500	0	0	12500	1.3298	12500	0	12500	1.3298	D	1.3298	0	0.0000	NA.	NA	12500
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	19
1)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	é
	Sub Total (B)(1)	1	13	19470	0	0	19470	2.0713	19470	0	19470	2.0713	0	2.0713	0	0.0000	NA	NA	12500
	Central Government/ State Government(s)/																		
2	President of India	,								-									-
	Central Government / State Government(s)		2	15910	0	0	15910	1.6926	15910	0	15910	1.6926	0	1.6926	0	0.0000	NA.	NA	15810
	Custodian Of Enemy Property For India	EXEMPTCATG	1	15810	0	0	15810	1.6819	15810	0	15810	1.6819	0	1.6819	D	0.000	NA-	NA	15810
	Sub Total (B)(2)		2	15910	0	0	15910	1.6926	15910	0	15910	1.6926	0	1.6926	0	0.0000	NA	NA	15810
3	Non-Institutions																		
(a)	Individuals:			0	0										0 .		néA.	NA	
•	i. Individual shareholders holding nominal														2.1				
	share capital up to Rs. 2 lakhs.		892	353236	0	0	353236	37.5783	353236	0	353236	37.5783	0	37.5783	2100	0.5945	NA	NA	42711
	ii. Individual shareholders holding nominal																		
	share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0900	NA	NA .	10
	Overseas Depositories(holding DRs) (balancing	:																	
(d)	figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0 .	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		27	21664	0	0	21664	2.3047	21664	0	21664	2.3047	0	2.3047	0	0.5003	NA	NA:	2594
	Foreign Nationals		4	3500	0	0	3500	0.3723	3500	0	3500	0.3723	0	0.3723	0	0.0000	ŅA	NA:	C
	Hindu Undivided Family		2	94	0	0 .	94	0.0100	94	0	94	0.0100	0	0.0100	0	0.0000	N/A	MA	94
	Non Resident Indians (Repat)		1	250	0 .	0	250	0.0266	250	0	250	0.0266	0	0.0266	0	0.0000	N.A.	NA	C
	Overseas Bodies Corporates		1	150	0	0	150	0.0160	150	0	150	0.0160	0	0.0160	0	0.0000	NA	NA	19
	Bodies Corporate	-	19	17670	0	0	17670	1.8798	17670	0	17670	1.8798	0	1.8798	0	0.0000	NA	NA	2500
	Sub Total (B)(3)		919	374900	0	0	374900	39.8830	374900	0	374900	39.8830	0	39.8830	0	0.0000	INA	NEM	45305
	Total Public Shareholding (B)=		934	410280	0	0	410280	43.6468	410280	0	410280	43.6468		43.6468	2100	0.5945	NA	MA	71615
	(8)(1)+(B)(2)+(B)(3)	1	734	410280	U	U	10280	143.0400	1410200	Io	-10200	+3.0400	L <sup>q</sup>	1-3,0400	12100	10,3343	Law.	Tiress	1.30.73

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON:02/01/2020 NSDL:31/12/2019 CDSL:31/12/2019



	Ceramics Limited - Statement showing shareholding pattern of the	Non Promo	ter- Non P	ublic shar	reholder -	31.12.2019									_				
										Number of Voting Rights held in each class of securities		each class	No. of Shares Underlying	Shareholding, as a % assuming full conversion of	Number of Locked in shares		Number of pledged of encumber	or otherwise	Number
1			1	No. of	Partly			Shareholding %					Outstanding	convertible				As a % of	of equity
			Nos. of	fully paid up equity		No. of shares underlying	1	calculated as per SCRR, 1957	No of Voti	ng Rights	1	Yotal as a	convertible	securities ( as a percentage of		As a % of total		total	held in
			sharehold		shares	Depository	shares	As a % of			]	% of	(including	diluted share	1	Shares		Shares	demater
	Category & Name of the shareholders	PAN	ers	held	held	Receipts	held	(A+B+C2)	Class eg: X	Class eg: y	Total	(A+B+C)	Warrants)	capital)	No. (a)	held(b)	No. (a)	heid(b)	lised for
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(x)	(X!)= (V!!)+(X) As a		XII)	1	XIII)	(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust (under SEBI (Share based			1								1							
2	Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=								1										
	(C)(1)+(C)(2)		0	0	٥	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

#### Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

[3] W.r.t, the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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