

# CARBO-CERAMICS LIMITED

Registered Office : 31, Chowringhee Road, Kolkata - 700 016  
Email id : secretarial@carbo-ceramics.com, Fax : 22496420  
Website : www.carbo-ceramics.com  
CIN : L26999WB1902PLC001537

18<sup>th</sup> January 2017

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata- 700 001

Dear Sir,

Re: Statement showing holding of securities and shareholding pattern for the quarter ended 31<sup>st</sup> December, 2016.

Pursuant to Regulation 31(1)(b) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 we enclose herewith the statement showing holding of securities and shareholding pattern of our Company for the quarter ended 31<sup>st</sup> December, 2016, receipt of which may kindly be acknowledged.

Thanking you,

Yours faithfully

For Carbo-Ceramics Ltd.

*Kavita Biyani*  
K. Biyani  
Company Secretary

Encl : As above.



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Carbo-Ceramics Limited
2.	Scrp Code/Name of Scrip/Class of Security: EQUITY
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg: 31(1)(b)/Reg:31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31st December 2016
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



**Carbo-Ceramics Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of sharehold shares	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	(VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
									Class eg: X	Class eg: Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As a % of total no. of shares (calculated as per SCRR, 1957)	(IX) Total	(X)	(XI) = (VII) + (X)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	2	529720	0	0	529720	56.3532	529720	0	56.3532	0	0.0000	0	0.0000	529720	
(B)	Public	941	410280	0	0	410280	43.6468	410280	0	43.6468	0	0.0000	0	0.0000	52855	
(C)	Non Promoter - Non Public															
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	NA	0	
	Total	943	940000	0	0	940000	100.0000	940000	0	100.0000	0	0.0000	0	0.0000	582575	



**Carbo-Ceramics Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

1	Category & Name of the shareholders (i)	PAN (ii)	Nos. of shares held (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv) + (v) + (vi)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2) (viii) As a	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) =	Number of Locked in Shares (a) (xii)	Number of Shares pledged or otherwise encumbered (a) (xiii)	Number of equity shares held in dematerialised form (xiv)
									Class eg: X	Class eg: Y/Total					
1	Indian														
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(d)	Any Other (Specify)		1	461000	0	0	461000	49.0426	461000	0	461000	49.0426	0	0	461000
	Bodhis Corporate		1	461000	0	0	461000	49.0426	461000	0	461000	49.0426	0	0	461000
	Emerald Company Limited		1	461000	0	0	461000	49.0426	461000	0	461000	49.0426	0	0	461000
	Sub Total (A)(1)		1	461000	0	0	461000	49.0426	461000	0	461000	49.0426	0	0	461000
2	Foreign														
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	68720	0	0	68720	7.3106	68720	0	68720	7.3106	0	0	68720
	Krishna Kumar Bangur		1	68720	0	0	68720	7.3106	68720	0	68720	7.3106	0	0	68720
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0
	Sub Total (A)(2)		1	68720	0	0	68720	7.3106	68720	0	68720	7.3106	0	0	68720
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		2	529720	0	0	529720	56.3532	529720	0	529720	56.3532	0	0	529720

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



**Carbo-Ceramics Limited**  
**Table III - Statement showing shareholding pattern of the Public shareholder**

1	Category & Name of the shareholders	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depositor Receipts held	Total nos. of shares held (VII) = (IV)+(V)+(VI)	Shareholding % of (A+B+C2) as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form		
									Class eg: Y	Class eg: Z	Total				As a % of total Shares held	No. of Shares held			As a % of total Shares held	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	(s)	(t)	
1	Institutions																			
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		12	6970	0	0	6970	0.2415	6970	0	0	6970	0.7415	0	0.7415	0	0.0000	NA	NA	0
(g)	Insurance Companies		1	12500	0	0	12500	1.3298	12500	0	0	12500	1.3298	0	1.3298	0	0.0000	NA	NA	12500
(h)	Life Insurance Corporation Of India	AAACLOS82H	0	12500	0	0	12500	1.3298	12500	0	0	12500	1.3298	0	1.3298	0	0.0000	NA	NA	12500
(i)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(j)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		13	19470	0	0	19470	2.0713	19470	0	0	19470	2.0713	0	2.0713	0	0.0000	NA	NA	12500
2	Central Government/ State Government(s)/ President of India																			
	Central Government/ State Government(s)		1	100	0	0	100	0.0106	100	0	0	100	0.0106	0	0.0106	0	0.0000	NA	NA	0
	Sub Total (B)(2)		1	100	0	0	100	0.0106	100	0	0	100	0.0106	0	0.0106	0	0.0000	NA	NA	0
3	Non-Institutions																			
(a)	Individuals		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		896	355986	0	0	355986	37.8709	355986	0	0	355986	37.8709	0	37.8709	0	0.0000	NA	NA	24451
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositor(s)(holding Drs) /balancing figure)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		31	34724	0	0	34724	3.6940	34724	0	0	34724	3.6940	0	3.6940	0	0.0000	NA	NA	15904
	Foreign Nationals		8	4810	0	0	4810	0.5117	4810	0	0	4810	0.5117	0	0.5117	0	0.0000	NA	NA	1310
	Hindu Undivided Family		2	94	0	0	94	0.0100	94	0	0	94	0.0100	0	0.0100	0	0.0000	NA	NA	94
	Foreign Companies		2	14500	0	0	14500	1.5426	14500	0	0	14500	1.5426	0	1.5426	0	0.0000	NA	NA	14500
	Habib Bank Ltd	CERIPANXE	1	10750	0	0	10750	1.1436	10750	0	0	10750	1.1436	0	1.1436	0	0.0000	NA	NA	10750
	Overseas Bodies Corporates		18	15170	0	0	15170	1.6138	15170	0	0	15170	1.6138	0	1.6138	0	0.0000	NA	NA	0
	Bodies Corporate		18	15170	0	0	15170	1.6138	15170	0	0	15170	1.6138	0	1.6138	0	0.0000	NA	NA	0
	Sub Total (B)(3)		927	390710	0	0	390710	41.5649	390710	0	0	390710	41.5649	0	41.5649	0	0.0000	NA	NA	40355
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		941	410280	0	0	410280	43.6468	410280	0	0	410280	43.6468	0	43.6468	0	0.0000	NA	NA	53855



Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of Shareholders	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



**Carbo-Ceramics Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

1	Custodian/DR Holder (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of underlyin g Depositor Receipts held (VI)	Total nos. shares held (VII) = (A+B+C2)	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C) (IX)	No. of Underlyin g Outstandi ng convertible securities (including Warrants) (X)	Sharehold ing, as a % assuming full conversio n of convertibl securities (as a percentage of (XI) =	Number of Locked in shares (a) (XII)	As a % of total Shares held(b) (XIII)	Number of Shares pledged or otherwise encumbered (a) (XIV)	As a % of total Shares held(b) (XV)	Number of equity shares held in demateria lised form (XVI)
									Class eg: X	Class eg: Y Total								
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

